

Translation

PATENT COOPERATION TREATY

PCT

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

PCT Application  
PCT/JP2003/010374



Applicant's or agent's file reference PH-1809-PCT	<b>FOR FURTHER ACTION</b> See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)	
International application No. PCT/JP2003/010374	International filing date (day/month/year) 15 August 2003 (15.08.2003)	Priority date (day/month/year)
International Patent Classification (IPC) or national classification and IPC F02P 5/15, F02D 43/00, 41/02, 41/04		
Applicant HITACHI, LTD.		

1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.

2. This REPORT consists of a total of 4 sheets, including this cover sheet.

☐ This report is also accompanied by ANNEXES, i.e., sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).

These annexes consist of a total of \_\_\_\_\_ sheets.

3. This report contains indications relating to the following items:

- I ☒ Basis of the report
- II ☐ Priority
- III ☐ Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
- IV ☐ Lack of unity of invention
- V ☒ Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- VI ☐ Certain documents cited
- VII ☐ Certain defects in the international application
- VIII ☐ Certain observations on the international application

Date of submission of the demand 15 August 2003 (15.08.2003)	Date of completion of this report 10 December 2003 (10.12.2003)
Name and mailing address of the IPEA/JP	Authorized officer
Facsimile No.	Telephone No.

# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.

PCT/JP2003/010374

## I. Basis of the report

### 1. With regard to the elements of the international application:\*

- ☒ the international application as originally filed
- ☐ the description:  
 pages \_\_\_\_\_, as originally filed  
 pages \_\_\_\_\_, filed with the demand  
 pages \_\_\_\_\_, filed with the letter of \_\_\_\_\_
- ☐ the claims:  
 pages \_\_\_\_\_, as originally filed  
 pages \_\_\_\_\_, as amended (together with any statement under Article 19  
 pages \_\_\_\_\_, filed with the demand  
 pages \_\_\_\_\_, filed with the letter of \_\_\_\_\_
- ☐ the drawings:  
 pages \_\_\_\_\_, as originally filed  
 pages \_\_\_\_\_, filed with the demand  
 pages \_\_\_\_\_, filed with the letter of \_\_\_\_\_
- ☐ the sequence listing part of the description:  
 pages \_\_\_\_\_, as originally filed  
 pages \_\_\_\_\_, filed with the demand  
 pages \_\_\_\_\_, filed with the letter of \_\_\_\_\_

### 2. With regard to the language, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item. These elements were available or furnished to this Authority in the following language \_\_\_\_\_ which is:

- ☐ the language of a translation furnished for the purposes of international search (under Rule 23.1(b)).
- ☐ the language of publication of the international application (under Rule 48.3(b)).
- ☐ the language of the translation furnished for the purposes of international preliminary examination (under Rule 55.2 and/or 55.3).

### 3. With regard to any nucleotide and/or amino acid sequence disclosed in the international application, the international preliminary examination was carried out on the basis of the sequence listing:

- ☐ contained in the international application in written form.
- ☐ filed together with the international application in computer readable form.
- ☐ furnished subsequently to this Authority in written form.
- ☐ furnished subsequently to this Authority in computer readable form.
- ☐ The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.
- ☐ The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.

### 4. ☐ The amendments have resulted in the cancellation of:

- ☐ the description, pages \_\_\_\_\_
- ☐ the claims, Nos. \_\_\_\_\_
- ☐ the drawings, sheets/fig \_\_\_\_\_

### 5. ☐ This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).\*\*

\* Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report since they do not contain amendments (Rule 70.16 and 70.17).

\*\* Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report.

# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

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## V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

### 1. Statement

Novelty (N)	Claims	1-36	YES
	Claims		NO
Inventive step (IS)	Claims	7, 9, 13, 19, 22, 23, 31-33, 36	YES
	Claims	1-6, 8, 10-12, 14-18, 20, 21, 24-30, 34, 35	NO
Industrial applicability (IA)	Claims	1-36	YES
	Claims		NO

### 2. Citations and explanations

Document 1: JP, 2003-214235, A (Mazda Motor Corp.), 30 July, 2003  
 Document 2: JP, 2003-83128, A (Nissan Motor Co., Ltd.), 19 March, 2003  
 Document 3: EP, 000719937, A2 (Hitachi, Ltd.), 03 July, 1996  
 Document 4: JP, 8-232645, A (Mazda Motor Corp.), 10 September, 1999  
 Document 5: JP, 9-125944, A (Toyota Motor Corp.), 13 May, 1997  
 Document 6: JP, 11-166410, A (Hino Motors, Ltd.), 22 June, 1999  
 Document 7: JP, 8-1193 U (Nippon Steel Corp.), 30 June, 1996  
 Document 8: JP, 7-197808, A (Toyota Motor Corp.), 01 August, 1995  
 Document 9: JP, 7-83043, A (Nissan Motor Co., Ltd.), 28 March, 1995

Claims 1, 4, 10, 14-18, 20, 21, 25-29, and 35

Paragraphs [0063] and [0064] in the claims of document 1 describe art for increasing temperature of a catalytic converter by setting ignition timing at top dead center or thereafter and igniting fuel in an expansion step.

Fig. 6 in the claims of document 5 and Fig. 2 in the claims of document 6, Fig. 1 of document 2 and Fig. 1 of document 8 describe art for installing a turbulent flow generating part in an exhaust system.

Claims 2, 3 and 11

The claims of document 2 describe art for activating a catalytic converter by performing emission warm-up stratification combustion in a compression step.

Claims 5, 6, 12, 30

The claims of document 3 describe art for adjusting combustion pressure, increasing penetration in the direction of an ignition plug more so than fuel spray in the direction of a piston and further causing a vertical swirl to be generated.

Claims 8 and 34

Paragraph [0132] of claims in document 4 describes art for activation of a catalytic converter by setting ignition timing after top dead center and controlling torque change by tumble generation.

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**Supplemental Box**

(To be used when the space in any of the preceding boxes is not sufficient)

Continuation of Box V:

**Claim 24**

The claims of document 8 describe art for setting a heating part in the vicinity of a turbulent flow generating part.